

## Graser, Jennifer

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**From:** Graser, Jennifer  
**Sent:** Tuesday, May 18, 2004 3:20 PM  
**To:** STIC-Biotech/ChemLib  
**Subject:** reference request

**Importance:** High

Please send me:

Laminent et al. EMBO (Eur.J.Molec.Biol.Org). 1990. 9(7): 2315-2320.

Zugel et al. Eur. J. Immunol. Feb,1995. 25(2): 451-458.

Thanks!

Jennifer Graser  
Primary Examiner  
Art Unit 1645  
REM 3B09 (mailbox 3C18)  
272-0858

## Hit List



### Search Results - Record(s) 1 through 10 of 15 returned.

☐ 1. Document ID: US 6719974 B1

L1: Entry 1 of 15

File: USPT

Apr 13, 2004

US-PAT-NO: 6719974

DOCUMENT-IDENTIFIER: US 6719974 B1

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: April 13, 2004

INVENTOR-INFORMATION:

| NAME                | CITY          | STATE | ZIP CODE | COUNTRY |
|---------------------|---------------|-------|----------|---------|
| Rothman; James E.   | New York      | NY    |          |         |
| Hartl; Franz Ulrich | Kottgeisering |       |          | DE      |
| Hoe; Mee H.         | Irvington     | NY    |          |         |
| Houghton; Alan      | New York      | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe          |       |          | JP      |
| Mayhew; Mark        | New York      | NY    |          |         |

US-CL-CURRENT: 424/185.1, 424/193.1, 424/195.11, 424/196.11, 424/197.11, 424/277.1, 424/278.1, 514/2, 514/24, 530/412, 530/413, 530/828

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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FIGURE | Draw Data |
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☐ 2. Document ID: US 6713608 B2

L1: Entry 2 of 15

File: USPT

Mar 30, 2004

US-PAT-NO: 6713608

DOCUMENT-IDENTIFIER: US 6713608 B2

TITLE: Purified heat shock protein complexes

DATE-ISSUED: March 30, 2004

INVENTOR-INFORMATION:

| NAME             | CITY        | STATE | ZIP CODE | COUNTRY |
|------------------|-------------|-------|----------|---------|
| Wallen; Erik S.  | Albuquerque | NM    |          |         |
| Roigas; Jan      | Berlin      |       |          | DE      |
| Moseley; Pope L. | Albuquerque | NM    |          |         |

US-CL-CURRENT: 530/350; 530/402, 530/412, 530/413

|      |       |          |       |        |                |      |           |           |             |        |      |        |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
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☐ 3. Document ID: US 6709672 B2

L1: Entry 3 of 15

File: USPT

Mar 23, 2004

US-PAT-NO: 6709672

DOCUMENT-IDENTIFIER: US 6709672 B2

TITLE: Pharmaceutical or food composition for treating pathologies associated with graft rejection or an allergic or autoimmune reaction

DATE-ISSUED: March 23, 2004

## INVENTOR-INFORMATION:

| NAME               | CITY       | STATE | ZIP CODE | COUNTRY |
|--------------------|------------|-------|----------|---------|
| Henot; Frederic    | Brussels   |       |          | BE      |
| Legon; Thierry     | Korbeek Lo |       |          | BE      |
| Duchateau; Jean    | Soignies   |       |          | BE      |
| Servais; Genevieve | Soignies   |       |          | BE      |

US-CL-CURRENT: 424/439; 424/184.1, 424/190.1, 424/193.1, 424/275.1, 424/434, 514/2

|      |       |          |       |        |                |      |           |           |             |        |      |        |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
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☐ 4. Document ID: US 6673348 B2

L1: Entry 4 of 15

File: USPT

Jan 6, 2004

US-PAT-NO: 6673348

DOCUMENT-IDENTIFIER: US 6673348 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: January 6, 2004

## INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: 424/184.1; 424/248.1, 435/69.7, 435/71.1, 530/350

|      |       |          |       |        |                |      |           |           |             |        |      |        |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
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☐ 5. Document ID: US 6663868 B1

L1: Entry 5 of 15

File: USPT

Dec 16, 2003

US-PAT-NO: 6663868

DOCUMENT-IDENTIFIER: US 6663868 B1

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: December 16, 2003

## INVENTOR-INFORMATION:

| NAME                | CITY          | STATE | ZIP CODE | COUNTRY |
|---------------------|---------------|-------|----------|---------|
| Rothman; James E.   | New York      | NY    |          |         |
| Hartl; Franz Ulrich | Kottgeisering |       |          | DE      |
| Hoe; Mee H.         | Irvington     | NY    |          |         |
| Houghton; Alan      | New York      | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe          |       |          | JP      |
| Mayhew; Mark        | New York      | NY    |          |         |

US-CL-CURRENT: 424/193.1; 424/195.11, 424/196.11, 424/197.11, 424/277.1, 424/278.1,  
514/2, 514/24, 530/300, 530/350, 530/412, 530/413, 530/828

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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FIGURE | Draw. De |
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☐ 6. Document ID: US 6656679 B2

L1: Entry 6 of 15

File: USPT

Dec 2, 2003

US-PAT-NO: 6656679

DOCUMENT-IDENTIFIER: US 6656679 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: December 2, 2003

## INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: 435/5; 424/184.1, 424/248.1

|      |       |          |       |        |                |      |           |           |             |        |        |          |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|--------|----------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FIGURE | Draw. De |
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☐ 7. Document ID: US 6641812 B2

L1: Entry 7 of 15

File: USPT

Nov 4, 2003

US-PAT-NO: 6641812

DOCUMENT-IDENTIFIER: US 6641812 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: November 4, 2003

## INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: 424/184.1; 424/248.1, 435/455, 435/69.7, 530/350

|      |       |          |       |        |                |      |           |           |             |        |      |          |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FIGS | Drawings |
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☐ 8. Document ID: US 6455493 B1

L1: Entry 8 of 15

File: USPT

Sep 24, 2002

US-PAT-NO: 6455493

DOCUMENT-IDENTIFIER: US 6455493 B1

TITLE: Methods for using heat shock proteins

DATE-ISSUED: September 24, 2002

## INVENTOR-INFORMATION:

| NAME             | CITY        | STATE | ZIP CODE | COUNTRY |
|------------------|-------------|-------|----------|---------|
| Wallen; Erik     | Albuquerque | NM    |          |         |
| Moseley; Pope L. | Albuquerque | NM    |          |         |

US-CL-CURRENT: 514/2; 424/184.1, 424/193.1, 530/350, 530/827

|      |       |          |       |        |                |      |           |           |             |        |      |          |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|----------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FIGS | Drawings |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|----------|

☐ 9. Document ID: US 6433141 B1

L1: Entry 9 of 15

File: USPT

Aug 13, 2002

US-PAT-NO: 6433141

DOCUMENT-IDENTIFIER: US 6433141 B1

TITLE: Purified heat shock protein complexes

DATE-ISSUED: August 13, 2002

## INVENTOR-INFORMATION:

| NAME             | CITY        | STATE | ZIP CODE | COUNTRY |
|------------------|-------------|-------|----------|---------|
| Wallen; Erik S.  | Albuquerque | NM    |          |         |
| Roigas; Jan      | Berlin      |       |          | DE      |
| Moseley; Pope L. | Albuquerque | NM    |          |         |

US-CL-CURRENT: 530/350; 530/402, 530/412, 530/413

|      |       |          |       |        |                |      |           |           |             |        |      |        |
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☐ 10. Document ID: US 6160088 A

L1: Entry 10 of 15

File: USPT

Dec 12, 2000

US-PAT-NO: 6160088

DOCUMENT-IDENTIFIER: US 6160088 A

TITLE: KDEL receptor inhibitors

DATE-ISSUED: December 12, 2000

## INVENTOR-INFORMATION:

| NAME              | CITY      | STATE | ZIP CODE | COUNTRY |
|-------------------|-----------|-------|----------|---------|
| Rothman; James E. | New York  | NY    |          |         |
| Mayhew; Mark      | Tarrytown | NY    |          |         |
| Hoe; Mee H.       | Irvington | NY    |          |         |

US-CL-CURRENT: 530/324; 530/350

|      |       |          |       |        |                |      |           |           |             |        |      |        |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KNOW | Draw D |
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| Terms                                  | Documents |
| heat adj shock adj protein adj complex | 15        |

Display Format: CIT

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## Hit List



### Search Results - Record(s) 1 through 8 of 8 returned.

☐ 1. Document ID: US 6719974 B1

L2: Entry 1 of 8

File: USPT

Apr 13, 2004

US-PAT-NO: 6719974

DOCUMENT-IDENTIFIER: US 6719974 B1

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: April 13, 2004

INVENTOR-INFORMATION:

| NAME                | CITY          | STATE | ZIP CODE | COUNTRY |
|---------------------|---------------|-------|----------|---------|
| Rothman; James E.   | New York      | NY    |          |         |
| Hartl; Franz Ulrich | Kottgeisering |       |          | DE      |
| Hoe; Mee H.         | Irvington     | NY    |          |         |
| Houghton; Alan      | New York      | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe          |       |          | JP      |
| Mayhew; Mark        | New York      | NY    |          |         |

US-CL-CURRENT: 424/185.1, 424/193.1, 424/195.11, 424/196.11, 424/197.11, 424/277.1, 424/278.1, 514/2, 514/24, 530/412, 530/413, 530/828

|      |       |          |       |        |                |      |           |           |             |        |        |          |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FOI/EO | Drawings |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|--------|----------|

☐ 2. Document ID: US 6709672 B2

L2: Entry 2 of 8

File: USPT

Mar 23, 2004

US-PAT-NO: 6709672

DOCUMENT-IDENTIFIER: US 6709672 B2

TITLE: Pharmaceutical or food composition for treating pathologies associated with graft rejection or an allergic or autoimmune reaction

DATE-ISSUED: March 23, 2004

INVENTOR-INFORMATION:

| NAME            | CITY       | STATE | ZIP CODE | COUNTRY |
|-----------------|------------|-------|----------|---------|
| Henot; Frederic | Brussels   |       |          | BE      |
| Legon; Thierry  | Korbeek Lo |       |          | BE      |
| Duchateau; Jean | Soignies   |       |          | BE      |

Servais; Genevieve

Soignies

BE

US-CL-CURRENT: [424/439](#); [424/184.1](#), [424/190.1](#), [424/193.1](#), [424/275.1](#), [424/434](#), [514/2](#)

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|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-------|--------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FOI/C | Draw.D |
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☐ 3. Document ID: US 6673348 B2

L2: Entry 3 of 8

File: USPT

Jan 6, 2004

US-PAT-NO: 6673348

DOCUMENT-IDENTIFIER: US 6673348 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: January 6, 2004

## INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: [424/184.1](#); [424/248.1](#), [435/69.7](#), [435/71.1](#), [530/350](#)

|      |       |          |       |        |                |      |           |           |             |        |       |        |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-------|--------|
| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | FOI/C | Draw.D |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|-------|--------|

☐ 4. Document ID: US 6663868 B1

L2: Entry 4 of 8

File: USPT

Dec 16, 2003

US-PAT-NO: 6663868

DOCUMENT-IDENTIFIER: US 6663868 B1

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: December 16, 2003

## INVENTOR-INFORMATION:

| NAME                | CITY          | STATE | ZIP CODE | COUNTRY |
|---------------------|---------------|-------|----------|---------|
| Rothman; James E.   | New York      | NY    |          |         |
| Hartl; Franz Ulrich | Kottgeisering |       |          | DE      |
| Hoe; Mee H.         | Irvington     | NY    |          |         |
| Houghton; Alan      | New York      | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe          |       |          | JP      |
| Mayhew; Mark        | New York      | NY    |          |         |

US-CL-CURRENT: [424/193.1](#); [424/195.11](#), [424/196.11](#), [424/197.11](#), [424/277.1](#), [424/278.1](#),

514/2, 514/24, 530/300, 530/350, 530/412, 530/413, 530/828

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|--------|
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☐ 5. Document ID: US 6656679 B2

L2: Entry 5 of 8

File: USPT

Dec 2, 2003

US-PAT-NO: 6656679

DOCUMENT-IDENTIFIER: US 6656679 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: December 2, 2003

INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: 435/5; 424/184.1, 424/248.1

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
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☐ 6. Document ID: US 6641812 B2

L2: Entry 6 of 8

File: USPT

Nov 4, 2003

US-PAT-NO: 6641812

DOCUMENT-IDENTIFIER: US 6641812 B2

TITLE: Heat shock protein-based vaccines and immunotherapies

DATE-ISSUED: November 4, 2003

INVENTOR-INFORMATION:

| NAME                | CITY      | STATE | ZIP CODE | COUNTRY |
|---------------------|-----------|-------|----------|---------|
| Rothman; James E.   | New York  | NY    |          |         |
| Hartl; F. Ulrich    | Munich    |       |          | DE      |
| Hoe; Mee H.         | New York  | NY    |          |         |
| Houghton; Alan      | New York  | NY    |          |         |
| Takeuchi; Yoshizumi | Kobe      |       |          | JP      |
| Mayhew; Mark        | Tarrytown | NY    |          |         |

US-CL-CURRENT: 424/184.1; 424/248.1, 435/455, 435/69.7, 530/350

| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KWIC | Draw D |
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|------|-------|----------|-------|--------|----------------|------|-----------|-----------|-------------|--------|------|--------|

☐ 7. Document ID: US 6160088 A

L2: Entry 7 of 8

File: USPT

Dec 12, 2000

US-PAT-NO: 6160088

DOCUMENT-IDENTIFIER: US 6160088 A

TITLE: KDEL receptor inhibitors

DATE-ISSUED: December 12, 2000

## INVENTOR-INFORMATION:

| NAME              | CITY      | STATE | ZIP CODE | COUNTRY |
|-------------------|-----------|-------|----------|---------|
| Rothman; James E. | New York  | NY    |          |         |
| Mayhew; Mark      | Tarrytown | NY    |          |         |
| Hoe; Mee H.       | Irvington | NY    |          |         |

US-CL-CURRENT: 530/324; 530/350

|      |       |          |       |        |                |      |           |           |             |        |     |           |
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| Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | RMK | Draw Data |
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☐ 8. Document ID: US 5961979 A

L2: Entry 8 of 8

File: USPT

Oct 5, 1999

US-PAT-NO: 5961979

DOCUMENT-IDENTIFIER: US 5961979 A

TITLE: Stress protein-peptide complexes as prophylactic and therapeutic vaccines against intracellular pathogens

DATE-ISSUED: October 5, 1999

## INVENTOR-INFORMATION:

| NAME                  | CITY      | STATE | ZIP CODE | COUNTRY |
|-----------------------|-----------|-------|----------|---------|
| Srivastava; Pramod K. | Riverdale | NY    |          |         |

US-CL-CURRENT: 424/193.1; 424/190.1, 424/191.1, 424/192.1, 424/196.11, 424/197.11,  
514/2, 514/21, 530/412

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